

TIM-3 Is a Novel Therapeutic Target for Eradicating Acute Myelogenous Leukemia Stem Cells

Koichi Akashi

Abstract Acute myelogenous leukemia (AML) is derived from self-renewing leukemic stem cells (LSCs). We found that T-cell immunoglobulin mucin-3 (TIM-3) is expressed on LSCs in most types of primary AML, except for acute promyelocytic leukemia (M3 by the FAB classification). TIM-3 is not expressed in normal hematopoietic stem cells (HSCs). In a xenogeneic transplantation system, we showed that targeting of TIM-3 by an anti-TIM-3 cytotoxic antibody is sufficient to eradicate human AML LSCs without affecting normal human hematopoiesis. These data strongly suggest that TIM-3 is a promising therapeutic target to cure AML patients.

Keywords Acute myelogenous leukemia • Leukemic stem cell • Cancer stem cell • TIM-3 • Hematopoietic stem cell • Xenotransplantation

Introduction

In normal hematopoiesis, human hematopoietic stem cells (HSCs) reside within the CD34⁺CD38⁻ cell fraction of bone marrow cells. They self-renew and differentiate into mature cells to maintain normal hematopoiesis. Similarly, in acute myelogenous leukemia (AML), leukemic blast cells are derived from a small population called leukemic stem cells (LSCs) or leukemia-initiating cells, which also resides within the CD34⁺CD38⁻ cell fraction [1, 2]. LSCs self-renew and give rise to clonogenic leukemic cells, whereas non-LSCs lack the potential to self-renew or maintain leukemia [1, 3, 4] indicating that AML is hierarchically organized initiating from LSCs.

Conventional chemotherapy currently achieves complete remission in ~90 % of AML cases [5, 6]. However, a considerable proportion of AML patients (~60 %) eventually relapse after intensive chemotherapies. The recurrence of AML in these

K. Akashi (✉)

Department of Medicine and Biosystemic Sciences, Kyushu University Graduate School of Medicine, 3-1-1 Maidashi, Higashi-Ku, Fukuoka 812-8582, Japan
e-mail: akashi@med.kyushu-u.ac.jp

patients may be caused by regrowth of surviving LSCs. To selectively kill AML LSCs while sparing normal HSCs, one of the most practical approaches is to target AML LSC-specific surface molecules or molecules required for LSC function. To achieve such specificity, the target molecule should be expressed on LSCs at a high level but not on normal HSCs [7]. The molecule can be expressed in mature blood cells or progenitors, because these cells can anyway be replenished by normal HSCs.

Search for Surface Antigens Specific to AML LSCs

A number of candidate surface molecules for eradicating AML LSCs have been reported mainly by utilizing cDNA microarray analysis of purified LSCs. Figure 1 shows the results of transcriptome profiling of purified LSCs from AML patients and normal adult HSCs [8]. The molecules strongly expressed in AML LSCs, including CLL-1 [9], CSF1R [10], CD96 [11], and CD99 [12], are specifically expressed in LSCs. CLL-1 is a transmembrane glycoprotein [13]. The proportion of CLL-1-expressing CD34⁺CD38⁻ AML cells, however, is highly diversified in cases [9]. CD96 is a member of the Ig gene superfamily. CD96 is expressed on activated T cells [14]. The expression level of CD96 protein is also high enough to clearly distinguish AML LSCs from normal HSCs. T-cell immunoglobulin mucin-3 (TIM-3) is expressed in LSCs of most AML types (except for M3) at high levels, but is not expressed in normal HSCs [8]. The expression level of CD25 [15], CD32 [15], CD44 [16], and CD47 [17] in LSCs was only two- to threefold higher at the mRNA level as compared with normal HSCs, and in some AML cases, LSCs did not express these molecules. CD33 and CD123 [18] proteins are expressed at a high level in normal HSCs and myeloid progenitors, including CMPs and GMPs [19], suggesting that targeting these molecules should harm normal hematopoiesis.

It might also be important to understand the function of these therapeutic target molecules in the development of AML. A previous study has shown that anti-CD44 monoclonal antibodies reduced the leukemic burden and blocked secondary engraftment in a NOD-SCID model [16]. This effect on LSCs was mediated in part by the disruption of LSC-niche interactions [16]. Anti-CD47 antibodies can block LSC reconstitution in a NOD-SCID model [17], and this might be due to the activation of phagocytosis by macrophages through inhibition of interaction of CD47 with SIRPA [20].

Recently, we have reported that TIM-3 is expressed on the cell surface of LSCs in most AML types [8, 21]. TIM-3 is not expressed in normal human HSCs [8] (Fig. 1). Furthermore, a recent study has succeeded in prospectively isolating LSCs from residual HSCs within the CD34⁺CD38⁻ fraction in de novo AML patients by using TIM-3 as a positive LSC marker [12]. Here, we summarize recent progress in studies of TIM-3 and discuss the potential usefulness of TIM-3 for eradicating AML LSCs. TIM-3 has several advantages over other candidate markers. First, TIM-3 protein is not detectable in normal HSCs or in other myelo-erythroid or lymphoid progenitors, although TIM-3 is upregulated in monocyte-lineage committed progenitors.

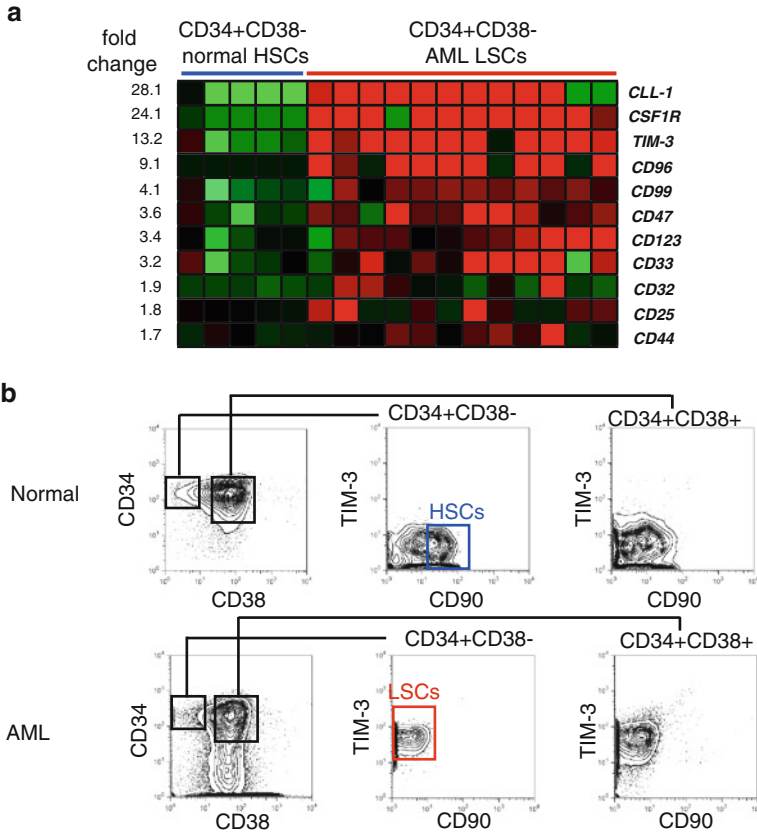


Fig. 1 TIM-3 expression in normal HSCs and AML LSCs. (a) Results of gene expression analysis comparing CD34+CD38- normal HSCs and AML LSCs. Surface molecules highly expressed in LSCs are shown. (b) FACS analysis of TIM-3 protein expression in normal HSCs and AML LSCs. Both CD34+CD38-CD90- LSCs and CD34+CD38+AML cells express TIM-3, whereas CD34+CD38-CD90- HSCs completely lack TIM-3 expression. TIM-3 expression originates within the CD34+CD38+ progenitor fraction in normal human hematopoiesis. A representative FACS analysis is shown here

Second, TIM-3 marks all functional LSCs that can reconstitute human AML in immunodeficient mice in the majority of M0, M1, M2, and M4 AML cases, and its expression level is sufficient to eradicate LSCs by antibody-based treatment.

TIM-3 Expression and Functions in Normal Hematopoiesis

TIM-3 was originally identified as a surface molecule expressed in interferon (IFN)- γ -producing CD4+ Th1 cells and CD8+ T cytotoxic type 1 (Tc1) cells [22] in murine hematopoiesis. TIM-3, a type 1 cell-surface glycoprotein, has a structure

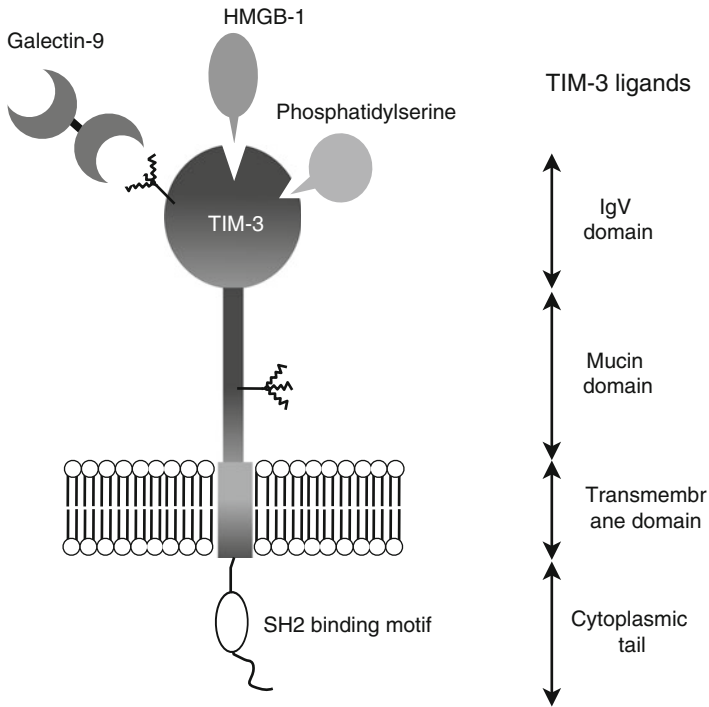


Fig. 2 Structure of TIM-3 molecule and its ligands. TIM-3 is a type 1 cell-surface glycoprotein and has a structure that includes an N-terminal immunoglobulin variable domain followed by a mucin domain, a transmembrane domain, and a cytoplasmic tail with highly conserved six tyrosine residues and a SH2 binding motif. Galectin-9, HMGB1, and PS have been identified as ligands of TIM-3

that includes an N-terminal immunoglobulin variable domain followed by a mucin domain, a transmembrane domain, and a cytoplasmic tail (Fig. 2). In steady-state human hematopoiesis, TIM-3 is expressed in monocytes and in a fraction of NK cells, but not in granulocytes, B cells, or T cells [8]. However, TIM-3 is upregulated in T cells in response to immune reactions. TIM-3 plays an important role in regulation of Th1-dependent immune responses and immune tolerance [22–24]. Galectin-9, an S-type lectin, has been reported as a TIM-3 ligand in lymphocytes. Galectin-9 has two distinct carbohydrate recognition domains and binds to carbohydrate chains on the IgV domain of TIM-3. TIM-3 has highly conserved six tyrosine residues and a Src homology 2 (SH2) binding motif in its cytoplasmic tail, and stimulation of TIM-3 by galectin-9 results in increased phosphorylation of tyrosine residues in T cells [25]. Engagement of TIM-3 by galectin-9 induces apoptosis of Th1 cells and inhibits their IFN- γ production [26]. These data collectively suggest that TIM-3 is a negative regulator of Th1- and Tc1-driven immune responses.

TIM-3 is also known as a marker of “exhausted” CD8⁺ T cells. Exhausted T cells show impaired proliferation and effector function under antigen stimulation.

One of the major markers for exhausted T cells is the inhibitory molecule programmed cell death 1 (PD-1), and T cell function is partially restored by blocking the interaction between PD-1 and PD-1 ligand in mice [27]. TIM-3 is also expressed on exhausted CD8⁺ T cells in patients with chronic viral infections, including human immunodeficiency virus (HIV) [28], hepatitis B virus [29], and hepatitis C virus (HCV) [30]. Blockade of both TIM-3 and PD-1 ligation can significantly restore T cell proliferation and effector potential, suggesting that both TIM-3 and PD-1 pathways play a major role in CD8⁺ T cell exhaustion [31].

TIM-3 can also modulate the immune reaction pathway to regulate innate immunity. NK cells and some myeloid cells, including monocytes/macrophages, dendritic cells, and mast cells, express TIM-3 in both human and mouse hematopoiesis. In NK cells, TIM-3 is induced on their surface on activation [32, 33], but the function of TIM-3 in NK cells remains controversial. It has been reported that TIM-3 is a human NK cell co-receptor to enhance IFN- γ production [32], but another report showed that NK cell-mediated cytotoxicity was reduced by cross-linking of TIM-3 [33].

In terms of the myeloid lineage, TIM-3 is expressed in monocytes/macrophages, dendritic cells (DCs), and mast cells [34–37]. In human bone marrow, CD34⁺CD38⁻CD90⁺ normal HSCs and the majority of CD34⁺CD38⁺ progenitor cells do not express TIM-3. Within the CD34⁺CD38⁺ progenitor fraction, human myeloid progenitors can be divided into three subpopulations, such as common myeloid progenitors (CMPs), granulocyte/macrophage progenitors (GMPs), and megakaryocyte/erythrocyte progenitors (MEPs) [38]. TIM-3 is expressed only in a fraction GMPs, but not in CMPs and MEPs. Purified TIM-3⁺ GMPs give rise mainly to colony-forming unit-macrophage (CFU-M), whereas TIM-3⁻ GMPs can generate various types of myeloid colonies, suggesting that upregulation of TIM-3 occurs in concert with monocyte lineage commitment at the GMP stage in humans [8].

In mature monocytes or dendritic cells, TIM-3 signaling synergizes with that of Toll-like receptors to promote secretion of tumor necrosis factor- α (TNF- α) inflammatory responses [34]. In addition, TIM-3 on macrophages and DCs recognizes phosphatidylserine (PS) in apoptotic cells through its IgV domain. Binding of PS to TIM-3 does not interfere with that of galectin-9 to TIM-3, as the binding sites of these molecules are located on opposite sides of the IgV domain. In TIM-3-expressing DCs, recognition of PS by TIM-3 induced enhancement of phagocytosis of apoptotic cells and cross-presentation of apoptotic cell-associated antigen to CD8⁺ T cells [35]. TIM-3 expression and functions in hematopoietic cells are summarized in Fig. 3.

TIM-3 Is a Marker of Malignant Stem Cells in Human AML

We have identified TIM-3 as an AML LSC-specific surface molecule. We first compared the gene expression profiles of CD34⁺CD38⁻ AML cells and normal HSCs by using cDNA microarray analysis (Fig. 1a). As shown in Fig. 1b, TIM-3 protein is not expressed in CD34⁺CD38⁻CD90⁺ normal HSCs, but the vast majority

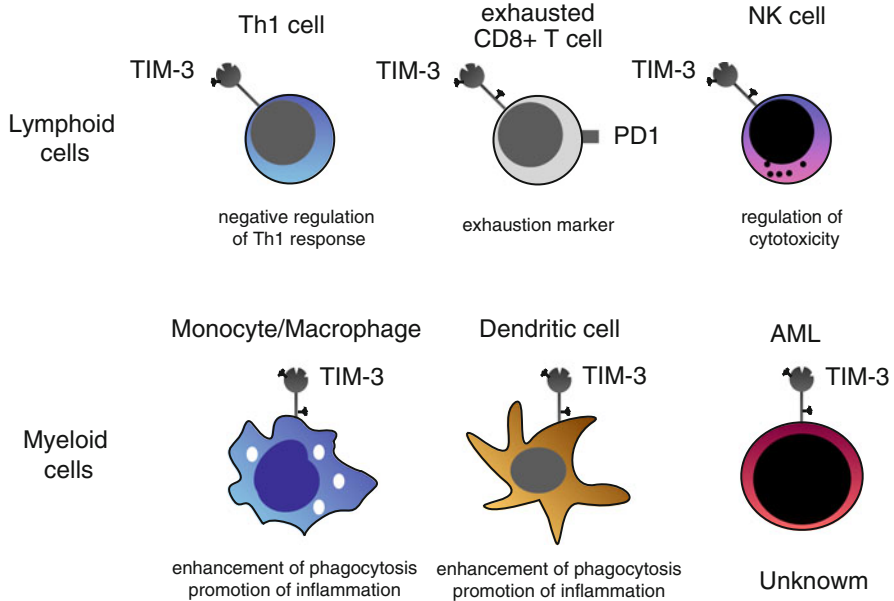


Fig. 3 TIM-3 expression and functions in normal hematopoietic cells. TIM-3 is expressed in Th1 cells, exhausted CD8⁺ T cells, NK cells, monocytes, and dendritic cells in normal hematopoiesis. The functions of TIM-3 differ by cell type and context

of the CD34⁺CD38⁻ LSCs and the CD34⁺CD38⁺ cells expressed TIM-3 at a high level in patients with most types of AML except for acute promyelocytic leukemia (M3) [8, 21]. Another group has also reported that the expression level of TIM-3 is especially high in immature AML cells with core-binding factor translocations or mutations in *CEBPA* [21].

It is important to note that the TIM-3⁺ fraction in AML patients contained all functional LSCs. We separated AML cells into the TIM-3⁺ and TIM-3⁻ populations and transplanted each population into sublethally irradiated immunodeficient mice, and found that only TIM-3⁺ AML cells, but not TIM-3⁻ cells, reconstituted human AML in these mice [8]. These data suggest that targeting TIM-3⁺ cells is sufficient for eradication of LSCs in AML patients.

Targeting AML-LSCs by Monoclonal Anti-TIM-3 Killing Antibodies in a Xenograft Model

To utilize TIM-3 to target AML LSCs, it is critical to establish anti-human TIM-3 antibodies that can kill TIM-3-expressing cells *in vivo*. To achieve successful antibody-based treatment, antibody-dependent cellular cytotoxicity (ADCC) and

complement-dependent cytotoxicity (CDC) activities are critical to eliminate target cells [39]. Additionally, recent studies have suggested that antibody-dependent cellular phagocytosis (ADCP) could play an important role in killing target cells *in vitro* [40] and *in vivo* [41].

An anti-TIM-3 monoclonal antibody (IgG2b) was obtained by immunizing Balb/c mice with L929 cells stably expressing human TIM-3 and soluble TIM-3 protein [8]. In this antibody, the variable portions of the VH regions of the cloned hybridoma that recognize TIM-3 were grafted onto IgG2a Fc regions, because the IgG2a subclass is most efficient to induce ADCC activity in mice [42, 43]. The clone called ATIK2a was established, and it was effective in killing TIM-3-expressing cell lines by its CDC and ADCC activities [8].

We then tested the effect of ATIK2a on the growth of AML LSCs or normal HSCs in xenograft models. NOD-SCID mice transplanted with 10^5 CD34⁺ cord blood cells were treated with ATIK2a. These mice were reconstituted with normal hematopoiesis with nearly equal percentages of human cell chimerisms, although human mature monocytes were depleted. In contrast, in mice reconstituted with human AML, ATIK2a exerted profound effects on leukemia development. The mice were transplanted with human AML of M0, M1, and M4 types, and after confirmation of AML development in these mice, ATIK2a was injected six times over 2 weeks. Strikingly, human AML cells disappeared in mice treated with ATIK2a but not in those with control IgG treatment. These data strongly suggest that targeting of AML LSCs by utilizing anti-TIM-3 killing antibodies is a practical approach to cure human AML.

TIM-3 Is a Functional Molecule for AML LSC Maintenance

Since TIM-3 has a tyrosine residue and SH2 domain that can activate Src family proteins, we hypothesized that TIM-3 signaling has some function to maintain AML-LSCs. We found that the serum levels of galectin-9, a TIM-3 ligand, were significantly (>10-fold) elevated in AML patients but not in normal individuals on an ELISA assay. Furthermore, TIM-3⁺ AML cells had abundant galectin-9 protein in their cytoplasm, and they secreted galectin-9 in the sera of mice transplanted with human AML. Mice reconstituted with normal human HSCs or B cell acute lymphoblastic leukemia did not have detectable levels of serum galectin-9. These results collectively suggest that AML cells secreted galectin-9 in an autocrine manner. Furthermore, TIM-3 stimulation by galectin-9 in AML cells *in vitro* induced significant gene expression changes including NF- κ B target genes (unpublished data). Collectively, it is suggested that AML LSCs had growth and survival advantages through an autocrine stimulation loop of the TIM-3/galectin-9 system.

Conclusion

TIM-3 has been shown to play pivotal roles in modulating immune reactions. By transcriptome analysis, we newly identified TIM-3 as a surface molecule specific to AML LSCs but not expressed in normal HSCs. Our *in vivo* xenogeneic transplantation analysis directly showed that targeting TIM-3 could be an efficient, useful therapeutic approach to eradicate AML LSCs.

Open Access This chapter is distributed under the terms of the Creative Commons Attribution Noncommercial License, which permits any noncommercial use, distribution, and reproduction in any medium, provided the original author(s) and source are credited.

References

1. Lapidot T et al (1994) A cell initiating human acute myeloid leukaemia after transplantation into SCID mice. *Nature* 367(6464):645–648
2. Ishikawa F et al (2007) Chemotherapy-resistant human AML stem cells home to and engraft within the bone-marrow endosteal region. *Nat Biotechnol* 25(11):1315–1321
3. Bonnet D, Dick JE (1997) Human acute myeloid leukemia is organized as a hierarchy that originates from a primitive hematopoietic cell. *Nat Med* 3(7):730–737
4. Hope KJ, Jin L, Dick JE (2004) Acute myeloid leukemia originates from a hierarchy of leukemic stem cell classes that differ in self-renewal capacity. *Nat Immunol* 5(7):738–743
5. Miyawaki S (2012) Clinical studies of acute myeloid leukemia in the Japan Adult Leukemia Study Group. *Int J Hematol* 96(2):171–177
6. Stein EM, Tallman MS (2012) Remission induction in acute myeloid leukemia. *Int J Hematol* 96(2):164–170
7. Krause DS, Van Etten RA (2007) Right on target: eradicating leukemic stem cells. *Trends Mol Med* 13(11):470–481
8. Kikushige Y et al (2010) TIM-3 is a promising target to selectively kill acute myeloid leukemia stem cells. *Cell Stem Cell* 7(6):708–717
9. van Rhenen A et al (2007) The novel AML stem cell associated antigen CLL-1 aids in discrimination between normal and leukemic stem cells. *Blood* 110(7):2659–2666
10. Aikawa Y et al (2010) PU.1-mediated upregulation of CSF1R is crucial for leukemia stem cell potential induced by MOZ-TIF2. *Nat Med* 16(5):580–585, 1p following 585
11. Hosen N et al (2007) CD96 is a leukemic stem cell-specific marker in human acute myeloid leukemia. *Proc Natl Acad Sci U S A* 104(26):11008–11013
12. Jan M et al (2012) Clonal evolution of preleukemic hematopoietic stem cells precedes human acute myeloid leukemia. *Sci Transl Med* 4(149):149ra118
13. Bakker AB et al (2004) C-type lectin-like molecule-1: a novel myeloid cell surface marker associated with acute myeloid leukemia. *Cancer Res* 64(22):8443–8450
14. Wang PL et al (1992) Identification and molecular cloning of tactile. A novel human T cell activation antigen that is a member of the Ig gene superfamily. *J Immunol* 148(8):2600–2608
15. Saito Y et al (2010) Identification of therapeutic targets for quiescent, chemotherapy-resistant human leukemia stem cells. *Sci Transl Med* 2(17):17ra9
16. Jin L et al (2006) Targeting of CD44 eradicates human acute myeloid leukemic stem cells. *Nat Med* 12(10):1167–1174
17. Majeti R et al (2009) CD47 is an adverse prognostic factor and therapeutic antibody target on human acute myeloid leukemia stem cells. *Cell* 138(2):286–299
18. Jin L et al (2009) Monoclonal antibody-mediated targeting of CD123, IL-3 receptor alpha chain, eliminates human acute myeloid leukemic stem cells. *Cell Stem Cell* 5(1):31–42

19. Taussig DC et al (2005) Hematopoietic stem cells express multiple myeloid markers: implications for the origin and targeted therapy of acute myeloid leukemia. *Blood* 106(13):4086–4092
20. Takenaka K et al (2007) Polymorphism in Sirpa modulates engraftment of human hematopoietic stem cells. *Nat Immunol* 8(12):1313–1323
21. Jan M et al (2011) Prospective separation of normal and leukemic stem cells based on differential expression of TIM3, a human acute myeloid leukemia stem cell marker. *Proc Natl Acad Sci U S A* 108(12):5009–5014
22. Monney L et al (2002) Th1-specific cell surface protein Tim-3 regulates macrophage activation and severity of an autoimmune disease. *Nature* 415(6871):536–541
23. Sanchez-Fueyo A et al (2003) Tim-3 inhibits T helper type 1-mediated auto- and alloimmune responses and promotes immunological tolerance. *Nat Immunol* 4(11):1093–1101
24. Sabatos CA et al (2003) Interaction of Tim-3 and Tim-3 ligand regulates T helper type 1 responses and induction of peripheral tolerance. *Nat Immunol* 4(11):1102–1110
25. van de Weyer PS et al (2006) A highly conserved tyrosine of Tim-3 is phosphorylated upon stimulation by its ligand galectin-9. *Biochem Biophys Res Commun* 351(2):571–576
26. Zhu C et al (2005) The Tim-3 ligand galectin-9 negatively regulates T helper type 1 immunity. *Nat Immunol* 6(12):1245–1252
27. Barber DL et al (2006) Restoring function in exhausted CD8 T cells during chronic viral infection. *Nature* 439(7077):682–687
28. Jones RB et al (2008) Tim-3 expression defines a novel population of dysfunctional T cells with highly elevated frequencies in progressive HIV-1 infection. *J Exp Med* 205(12):2763–2779
29. Wu W et al (2012) Blockade of Tim-3 signaling restores the virus-specific CD8(+) T-cell response in patients with chronic hepatitis B. *Eur J Immunol* 42(5):1180–1191
30. Golden-Mason L et al (2009) Negative immune regulator Tim-3 is overexpressed on T cells in hepatitis C virus infection and its blockade rescues dysfunctional CD4+ and CD8+ T cells. *J Virol* 83(18):9122–9130
31. Sakuishi K et al (2010) Targeting Tim-3 and PD-1 pathways to reverse T cell exhaustion and restore anti-tumor immunity. *J Exp Med* 207(10):2187–2194
32. Gleason MK et al (2012) Tim-3 is an inducible human natural killer cell receptor that enhances interferon gamma production in response to galectin-9. *Blood* 119(13):3064–3072
33. Ndhlovu LC et al (2012) Tim-3 marks human natural killer cell maturation and suppresses cell-mediated cytotoxicity. *Blood* 119(16):3734–3743
34. Anderson AC et al (2007) Promotion of tissue inflammation by the immune receptor Tim-3 expressed on innate immune cells. *Science* 318(5853):1141–1143
35. Nakayama M et al (2009) Tim-3 mediates phagocytosis of apoptotic cells and cross-presentation. *Blood* 113(16):3821–3830
36. Nakae S et al (2007) TIM-1 and TIM-3 enhancement of Th2 cytokine production by mast cells. *Blood* 110(7):2565–2568
37. Dekruyff RH et al (2010) T cell/transmembrane, Ig, and mucin-3 allelic variants differentially recognize phosphatidylserine and mediate phagocytosis of apoptotic cells. *J Immunol* 184(4):1918–1930
38. Manz MG et al (2002) Prospective isolation of human clonogenic common myeloid progenitors. *Proc Natl Acad Sci U S A* 99(18):11872–11877
39. Nimmerjahn F, Ravetch JV (2007) Antibodies, Fc receptors and cancer. *Curr Opin Immunol* 19(2):239–245
40. Manches O et al (2003) In vitro mechanisms of action of rituximab on primary non-Hodgkin lymphomas. *Blood* 101(3):949–954
41. Ofilazoglu E et al (2009) Macrophages and Fc-receptor interactions contribute to the anti-tumor activities of the anti-CD40 antibody SGN-40. *Br J Cancer* 100(1):113–117
42. Nimmerjahn F, Ravetch JV (2005) Divergent immunoglobulin g subclass activity through selective Fc receptor binding. *Science* 310(5753):1510–1512
43. Uchida J et al (2004) The innate mononuclear phagocyte network depletes B lymphocytes through Fc receptor-dependent mechanisms during anti-CD20 antibody immunotherapy. *J Exp Med* 199(12):1659–1669

TGF- β LAP Degradation Products, a Novel Biomarker and Promising Therapeutic Target for Liver Fibrogenesis

Mitsuko Hara, Tomokazu Matsuura, and Soichi Kojima

Abstract While there are many blood and/or tissue biomarkers as well as algorithms clinically used to assess hepatic fibrosis, a good biomarker and therapeutic target of hepatic fibrogenesis, which reflects prefibrotic changes, has not been established. The most fibrogenic cytokine, transforming growth factor (TGF)- β , is produced as a latent complex, in which TGF- β is trapped by its propeptide. On the surface of activated hepatic stellate cells, plasma kallikrein activates TGF- β by cleaving latency-associated protein (LAP) between the R⁵⁸ and L⁵⁹ residues, releasing active TGF- β from the complex. We made specific antibodies that recognize neo-C-terminal (R⁵⁸) and N-terminal (L⁵⁹) ends of LAP degradation products (LAP-DPs) and found that LAP-DPs may serve as a novel surrogate marker of TGF- β activation—namely, generation of active TGF- β —and is thus a therapeutic marker for TGF- β -mediated liver fibrogenesis in patients and can also be used to monitor effects of anti-fibrogenic factors or compounds for discovery of a novel anti-fibrosis drug.

Keywords Biomarkers • Hepatic fibrogenesis • TGF- β • LAP • Latent TGF- β activation • Hepatic stellate cells • Plasma kallikrein • LAP-DP • Drug discovery • Anti-fibrosis drug

Abbreviations

ECM	Extracellular matrix
HSCs	Hepatic stellate cells
α SMA	α smooth muscle actin
TGF- β 1	Transforming growth factor- β 1

M. Hara • S. Kojima, Ph.D. (✉)
Micro-Signaling Regulation Technology Unit, RIKEN Center for Life Science Technologies,
2-1 Hirosawa, Wako, Saitama 351-0918, Japan
e-mail: m-hara@riken.jp; skojima@riken.jp

T. Matsuura
Department of Laboratory Medicine, The Jikei University School of Medicine,
Minato-ku, Tokyo 105-0003, Japan
e-mail: matsuurat@jikei.ac.jp

LAP	Latency associated protein
SLC	Small latent complex
LTBP	Latent TGF- β binding protein
LLC	Large latent complex
PLN	Plasmin
PLK	Plasma kallikrein
LAP-DP	LAP degradation products
LAP β 1	TGF- β 1 LAP
BDL	Bile duct ligation
HBV	Hepatitis B virus
HCV	Hepatitis C virus
NASH	Non-alcoholic steatohepatitis

Introduction of Liver Fibrogenesis

Hepatic fibrosis is the excessive accumulation of extracellular matrices (ECM; mainly collagen) in the perisinusoidal space (or space of Disse) in the liver, and an important pathological step developing from chronic hepatitis to liver cirrhosis irrespective of etiologies [1], whereas hepatic fibrogenesis means fibrosis progression or an ongoing reaction producing excessive ECM, sometimes nonsymptomatic, in the liver [2]. While there are many blood and/or tissue biomarkers as well as algorithms clinically used to assess hepatic fibrosis [3–7], the gold standard is still scoring of stained collagen fibers in the biopsy sample [3]. However, biopsy is invasive and risky. Imaging techniques including ultrasound elastography have been developed [3]. In contrast, a good biomarker and therapeutic target of hepatic fibrogenesis, which reflects prefibrotic changes, has not been established [2,3]. Therefore, development of a noninvasive biomarker for hepatic fibrogenesis, which will lead not only to establishment of a novel diagnosis useful to prevent liver fibrosis/cirrhosis, but also to acceleration of drug discovery and development against liver fibrosis, is in high demand [3].

Activation of Hepatic Stellate Cells

Hepatic stellate cells (HSCs) play a central role in the pathogenesis of hepatic fibrosis by virtue of their ability to undergo a process termed “activation” [1,2]. During this process, HSCs transform into myofibroblast-like cells accompanying several key phenotypic changes, which collectively increase extracellular matrix accumulation [1–3]. These include (1) cellular proliferation caused by upregulation of mitogenic cytokines and their receptors; (2) morphologic changes with loss of stored

vitamin A droplets; (3) contractility caused by increased α smooth muscle actin (α SMA), which may constrict sinusoidal blood flow; and (4) fibrogenesis mainly caused by increased synthesis and release of collagen.

TGF-β and Its Activation Reaction

Among many cytokines and growth factors related to fibrogenesis, the most potent—and therefore the most “fibrogenic”—cytokine is the 25 kD homodimeric cytokine, transforming growth factor (TGF)-β [8]. The TGF-β family is composed of three subtypes (TGF-β1, TGF-β2, and TGF-β3), with biological properties that are nearly identical [8]. TGF-β is produced as an inactive latent complex, in which active TGF-β is trapped by its propeptide, latency-associated protein (LAP), and to exert its biological activities, it must be released from the complex [9]. This reaction is called activation of TGF-β (Fig. 1). TGF-β1 is produced as a 390-amino-acid

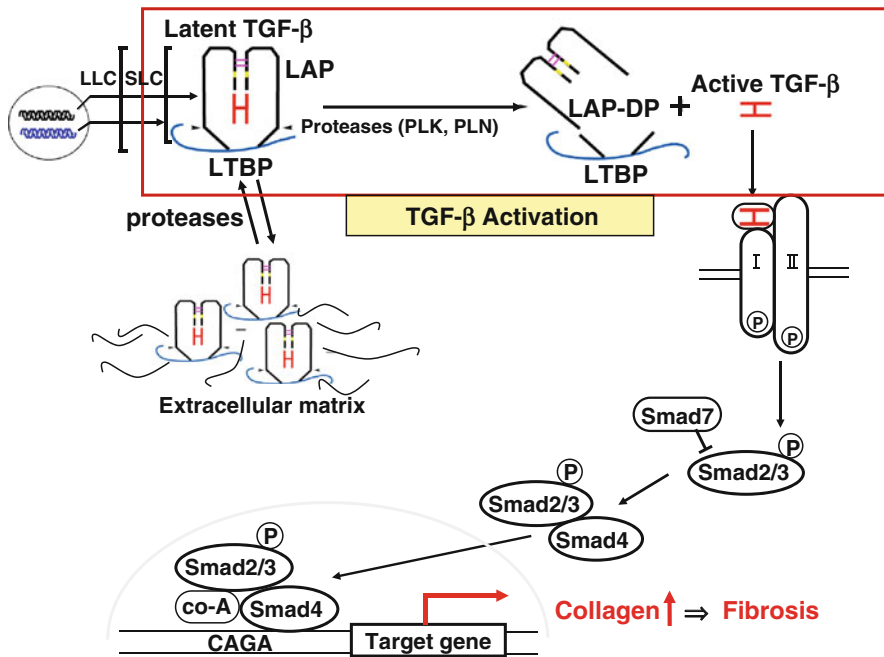


Fig. 1 TGF-β activation and signaling pathway. TGF-β is produced as a latent complex (LLC) composed of active TGF-β trapped by its propeptide LAP (SLC) and a matrix protein LTBP. Upon cleavage of LAP by proteases such as PLK, active TGF-β is released from the complex (this reaction is called TGF-β activation) and exerts fibrogenic activity (stimulation of collagen synthesis) via binding to its receptors and Smad signaling

precursor protein consisting of a signal peptide of 29 amino acids, an N-terminal LAP, and a C-terminal region that becomes the active TGF- β 1 molecule, and each region is dimerized through S-S bonds. After processing by cleavage at R²⁷⁸-A²⁷⁹ by a furin-like protease, the LAP still non-covalently captures the active TGF- β 1, forming small latent complex (SLC) and preventing active TGF- β 1 from binding its cognate receptors [9]. The active TGF- β 1 and the LAP homodimers are 25 kD and 75 kD, respectively. SLC is S-S bonded to another gene product, the latent TGF- β binding protein (LTBP), via C³³ residues, forming the large latent complex (LLC). This complex can be sequestered in the ECM (Fig. 1) [10] because LTBP is a member of an ECM protein family, fibrillin [11].

Activation of latent TGF- β is performed through different mechanisms depending on the tissue and cell types and experimental conditions, and several molecules are known to activate TGF- β 1 in animal models [12–21]. These include integrins [12–15], thrombospondin [16], and proteases, such as matrix metalloproteinases and serine proteases [17–21]. The integrin α β 6 binds to and activates latent TGF- β and plays a role in regulating pulmonary inflammation and fibrosis as well as biliary fibrosis [12–15]. Thrombospondin 1 is another major activator of latent TGF- β , especially in the lung and pancreas, by binding to a defined site within LAP and inducing a conformational change in the latent complex [16]. In the normal liver, TGF- β is produced and secreted from sinusoidal endothelial cells and Kupffer cells (KCs, resident macrophages in the liver) at low levels. Elevated production of TGF- β was seen first in all cell types and then mainly in hepatocytes and HSCs after partial hepatectomy, whereas elevated production of TGF- β was seen solely in HSCs after inflammation and fibrosis [22]. TGF- β secreted from HPCs is entirely in the latent form, whereas TGF- β secreted from HSCs is 50–90 % in the active form [22]. Thus, HSCs are recognized as the major source of active TGF- β , namely the site of TGF- β activation, particularly in the damaged liver [22,23].

We have addressed a potential proteolytic mechanism for latent TGF- β activation in HSCs by surface plasmin (PLN) and plasma kallikrein (PLK) during the formation of hepatic fibrosis [20,21]. PLN releases latent TGF- β from the extracellular matrix and activates it by cleaving LAP from latent TGF- β molecules on the HSC surface [9,20]. Lyons et al. first reported that PLN digests LAP and activates TGF- β 1 in vitro [24]. Using a protease inhibitor, camostat mesilate, we demonstrated that PLN and PLK are involved in the TGF- β 1 activation associated with liver fibrosis and impaired liver regeneration in animal models [20,21]. However, it remained to be elucidated whether PLN- and/or PLK-dependent TGF- β 1 activation also occurs during the pathogenesis of liver fibrosis in patients, as there was no good biomarker reflecting protease-dependent TGF- β 1 activation reaction. To answer this question, we determined cleavage site within LAP and made specific antibodies that recognize LAP degradation products (LAP-DPs) bearing a neo-amino or carboxyl terminus [25].

TGF-β LAP-DP Serves as a Surrogate Marker for Its Activation Reaction

To identify the cleavage sites in LAP during latent TGF-β1 activation by PLN and PLK, recombinant human LAP β1 was digested with these proteases, the resultant fragments were separated by SDS-polyacrylamide gel electrophoresis (PAGE), and the N-terminal sequence of each LAP-DP was determined using a pulsed liquid protein sequencer Precise 494cLC, which revealed that PLN and PLK primarily cleave LAP β1 between the K⁵⁶ and L⁵⁷ residues, and the R⁵⁸ and L⁵⁹ residues, respectively, during proteolytic activation of latent TGF-β1 (Fig. 2) [25].

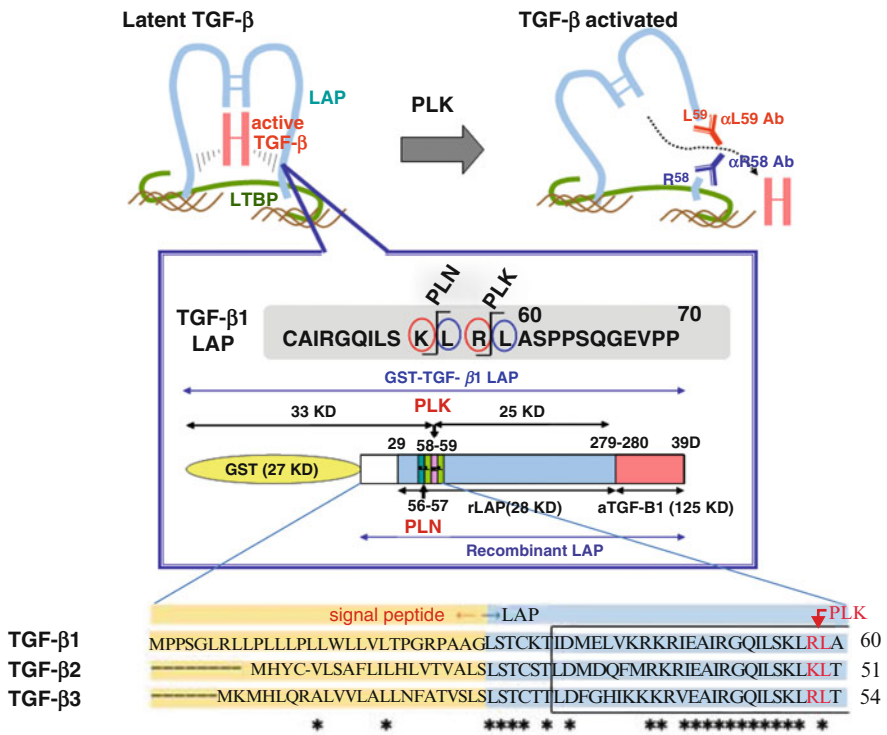


Fig. 2 Cleavage of K⁵⁶LRL⁵⁹ within LAP activates TGF-β. PLN and PLK cleave LAP between K⁵⁶-L⁵⁹ and R⁵⁸-L⁵⁹ residues, respectively, causing release of active TGF-β1 from the latent complex. The amino acid sequences around the PLN and PLK cleavage sites are illustrated. Antibodies that specifically recognize the cutting edges of LAP-DPs were produced. The dark blue “Y” labeled R58 represents antibodies recognizing the C-terminal or N-terminal side LAP-DPs, whereas the red “Y” labeled L59 represents antibodies recognizing the N-terminal or C-terminal side LAP-DPs. A comparison of amino acid sequences from the N-terminus until the PLK cleavage site among three isoforms of TGF-β is presented at the bottom

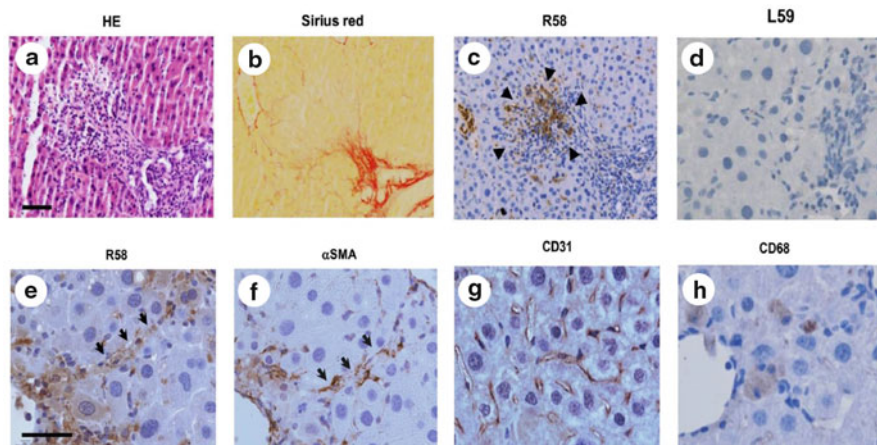


Fig. 3 Emergence of TGF- β LAP-DPs in activated HSCs within pre-fibrotic areas in BDL models. Liver sections from BDL-operated mice were stained by HE (a) and Sirius red (b), and immunostained with R58 (c) and L59 (d) antibodies (scale bar=50 μ m), and were immunostained with R58 (e), anti- α SMA (f), anti-CD31 (g), and anti-CD68 (h) antibodies (scale bar=25 μ m). More detailed results are provided elsewhere [25]

To detect PLK-produced LAP-DPs, we made two monoclonal antibodies. One is the R58 antibody detecting N-terminal side LAP-DPs terminating on the R⁵⁸ residue (R⁵⁸ LAP-DPs), and another is the L59 antibody detecting C-terminal side LAP-DPs starting from the L⁵⁹ residue (L⁵⁹ LAP-DPs). We established techniques to detect each LAP-DP using these antibodies [25]. The R⁵⁸ LAP-DPs remaining in tissues or cell surfaces through S-S bonded LTBP can be detected mostly in α SMA-positive activated stellate cells in liver tissues from both fibrotic animals and patients by immunostaining with the R58 antibody, whereas the L⁵⁹ LAP-DPs were not detectable by immunostaining with the L59 antibody [25]. Figure 3 shows the results obtained from bile duct ligation (BDL) mice. These mice often exhibited granulomatous lesions (*panel a*), in which fibroblastic cells infiltrated and started ECM production (*panel b*). Importantly, the R⁵⁸ LAP-DPs were detected in granulomatous lesions prior to Sirius red positivity, namely before collagen accumulation (arrowheads in *panel c*). In contrast, L59 antibody failed to stain the L⁵⁹ LAP-DPs, although various antigen unmasking procedures were treated (*panel d*). We found that the L⁵⁹ LAP-DPs were released into the blood and could be measured by an ELISA using the L59 antibody (Hara et al., unpublished data). In panels *e-h*, non-parenchymal regions were recognized by antibody R58 (arrowheads in *panel e*), and mostly overlapped with α SMA-positive HSCs (arrowheads in *panel f*), but not with CD31-positive liver sinusoidal endothelial cells (*panel g*) nor with CD68-positive KCs (hepatic macrophages) (*panel h*). We further found that the R58 antibody detected TGF- β 1/3 LAP-DPs but not TGF- β 2 LAP-DPs because of the similarity and difference of the R58 side sequence, respectively (Fig. 2). Finally, we succeeded in detecting R⁵⁸ LAP-DPs in patients with chronic hepatitis B and C virus

(HBV and HCV, respectively) infection categorized as A1F2 and A2F2, as well as in patients with non-viral hepatitis, such as autoimmune hepatitis and non-alcoholic steatohepatitis (NASH) [25]. A specific cell shape called a “crown-like structure” (CLS) has been referred to as a biomarker for NASH in both an animal model and patients [26]. Recently, we found that R⁵⁸ LAP-DPs positivity well matched the emergence of CLS [27].

These data suggest the occurrence of a PLK-dependent TGF- β activation reaction in patients and indicate that the LAP-DP may be useful as a surrogate marker reflecting PLK-dependent TGF- β 1/3 activation and subsequent fibrogenesis in the fibrotic liver both in animal models and in patients.

Conclusion and Future Subjects

The most fibrogenic cytokine, TGF- β , is produced as a latent complex, in which TGF- β is trapped by its propeptide, LAP. On the surface of activated HSCs, PLK activates TGF- β by cleaving LAP between the R⁵⁸ and L⁵⁹ residues, releasing active TGF- β from the complex. We made specific antibodies that recognize the neo-C-terminal (R⁵⁸) and N-terminal (L⁵⁹) ends of the LAP-DP, and found that the LAP-DP may serve as a novel surrogate marker of TGF- β activation—namely, generation of active TGF- β —and is thereby a therapeutic marker for TGF- β -mediated liver fibrogenesis in patients [25].

Utilizing LAP-DP antibodies, we are developing techniques to visualize the fibrogenic area by positron emission tomography (PET), planning to eliminate activated HSCs with pertussis toxin, and undertaking the challenge to solve the co-crystal structure of LAP and a LAP-DP targeting inhibitor, which binds to the LAP cleavage site, thereby inhibiting TGF- β activation and liver fibrosis in HBV-infected chimeric mice (Hara et al., unpublished data). The effectiveness of an inhibitor against the TGF- β activation reaction has been reported in the integrin-mediated activation of TGF- β [3, 15, 28]. LAP-DP is also used to monitor the effects of anti-fibrogenic factors or compounds for discovery of a novel anti-fibrosis drug. For example, we recently found that HCV NS3 protease mimics TGF- β 2 and enhances liver fibrosis via binding to and activation of the TGF- β type I receptor, and that an anti-NS3 antibody raised against the predicted binding sites attenuates liver fibrosis in HCV-infected chimeric mice [29]. In this study, R58 LAP-DP staining nicely showed the anti-fibrogenic potentials of the anti-NS3 antibody.

The technique developed accelerates drug discovery targeting TGF- β -dependent fibrogenesis in patients suffering from chronic hepatitis.

Acknowledgments This work was supported partly by the Program for Promotion of Fundamental Studies in Health Science of the National Institute of Biomedical Innovation (NIBL) and a grant from the Uehara Memorial Foundation, Japan (to S.K.), Research on the Innovative Development and the Practical Application of New Drugs for Hepatitis B (Principal investigator: Soichi Kojima; H24-B Drug Discovery-Hepatitis-General-003), provided by the Ministry of Health, Labor and Welfare of Japan.

Open Access This chapter is distributed under the terms of the Creative Commons Attribution Noncommercial License, which permits any noncommercial use, distribution, and reproduction in any medium, provided the original author(s) and source are credited.

References

1. Bataller R, Brenner DA (2005) Liver fibrosis. *J Clin Invest* 115:209–218
2. Friedman SL (2008) Mechanism of hepatic fibrogenesis. *Gastroenterology* 134:1655–1669
3. Schuppan D, Kim YO (2013) Evolving therapies for liver fibrosis. *J Clin Invest* 123:1887–1901
4. Kuno A, Ikehara Y, Tanaka Y, Ito K, Matsuda A, Sekiya S, Hige S, Sakamoto M, Kage M, Mizokami M, Narimatsu H (2013) A serum “sweet-doughnut” protein facilitates fibrosis evaluation and therapy assessment in patients with viral hepatitis. *Sci Rep* 3:1065
5. Ikeda K, Izumi N, Tanaka E, Yotsuyanagi H, Takahashi Y, Fukushima J, Kondo F, Fukusato T, Koike K, Hayashi N, Kumada H (2013) Fibrosis score consisting of four serum markers successfully predicts pathological fibrotic stages of chronic hepatitis B. *Hepatol Res* 43:596–604
6. Fallatah HI (2014) Noninvasive biomarkers of liver fibrosis: an overview. *Adv Hepatol*. Article ID 357287
7. Kazankov K, Barrera F, Møller HJ, Bibby BM, Vilstrup H, George J, Grønbaek H (2014) Soluble CD163, a macrophage activation marker, is independently associated with fibrosis in patients with chronic viral hepatitis B and C. *Hepatology* 60:521–530
8. Dooley S, ten Dijke P (2012) TGF- β in progression of liver disease. *Cell Tissue Res* 347:245–256
9. Dabovic B, Rifkin DB (2008) TGF- β bioavailability: latency, targeting, and activation. In: Derynck R, Miyazono K (eds) *The TGF- β family*. Cold Spring Harbor Laboratory Press, New York, pp 179–202
10. Breitkof K, Lahme B, Tag CG, Gressner AM (2001) Expression and matrix deposition of latent TGF- β binding proteins in normal and fibrotic rat liver and transdifferentiating hepatic stellate cells in culture. *Hepatology* 33:387–396
11. Zilberberg L, Todorovic V, Dabovic B, Horiguchi M, Couroussé T, Sakai LY, Rifkin DB (2012) Specificity of latent TGF- β binding protein (LTBP) incorporation into matrix: role of fibrillins and fibronectin. *J Cell Physiol* 227:3828–3836
12. Margadant C, Sonnenberg A (2010) Integrin-TGF- β crosstalk in fibrosis, cancer and wound healing. *EMBO Rep* 11:97–105
13. Shi M, Zhu J, Wang R, Chen X, Mi L, Walz T, Springer TA (2011) Latent TGF- β structure and activation. *Nature* 474:343–349
14. Henderson NC, Sheppard D (2012) Integrin-mediated regulation of TGF β in fibrosis. *Biochim Biophys Acta* 1832:891–896
15. Patsenker E, Popov Y, Stickel F, Jonczyk A, Goodman SL, Schuppan D (2008) Inhibition of integrin α v β 6 on cholangiocytes blocks transforming growth factor- β activation and retards biliary fibrosis progression. *Gastroenterology* 135:660–670
16. Ribeiro SM, Poczatek M, Schultz-Cherry S, Villain M, Murphy-Ullrich JE (1999) The activation sequence of thrombospondin-1 interacts with the latency-associated peptide to regulate activation of latent transforming growth factor- β . *J Biol Chem* 274:13586–13593
17. Jenkins G (2008) The role of proteases in transforming growth factor- β activation. *Int J Biochem Cell Biol* 40:1068–1078
18. Du X, Shimizu A, Masuda Y, Kuwahara N, Arai T, Kataoka M, Uchiyama M, Kaneko T, Akimoto T, Iino Y, Fukuda Y (2012) Involvement of matrix metalloprotease-2 in the development of renal interstitial fibrosis in mouse obstruction nephropathy. *Lab Invest* 92:1149–1160

19. Lyons RM, Gentry LE, Purchio AF, Moses HL (1990) Mechanism of activation of latent recombinant transforming growth factor beta 1 by plasmin. *J Cell Biol* 110:1361–1367
20. Okuno M, Akita K, Moriwaki H, Kawada N, Ikeda K, Kaneda K, Suzuki Y, Kojima S (2001) Prevention of rat fibrosis by protease inhibitor, Camostat Mesilate, via reduced generation of active TGF- β . *Gastroenterology* 120:1784–1800
21. Akita K, Okuno M, Enya M, Imai S, Moriwaki H, Kawada N, Suzuki Y, Kojima S (2002) Impaired liver regeneration in mice by lipopolysaccharide via TNF- α /kallikrein-mediated activation of latent TGF- β . *Gastroenterology* 123:352–364
22. Bissell DM, Wang SS, Jarnagin WR, Roll FJ (1995) Cell-specific expression of transforming growth factor- β in rat liver. Evidence for autocrine regulation of hepatocyte proliferation. *J Clin Invest* 96:447–455
23. Friedman SL (1993) The cellular basis of hepatic fibrosis. *N Engl J Med* 328:1828–1835
24. Lyons RM, Keski-Oja J, Moses HL (1988) Proteolytic activation of latent transforming growth factor- β from fibroblast-conditioned medium. *J Cell Biol* 106:1659–1665
25. Hara M, Kirita A, Kondo W, Matsuura T, Nagatsuma K, Dohmae N, Ogawa S, Imajoh-Ohmi S, Friedman SL, Rifkin DB, Kojima S (2014) LAP degradation product reflects plasma kallikrein-dependent TGF- β activation in patients with hepatic fibrosis. *Springerplus* 3:221
26. Itoh M, Kato H, Suganami T, Konuma K, Marumoto Y, Terai S, Sakugawa H, Kanai S, Hamaguchi M, Fukaiishi T, Aoe S, Akiyoshi K, Komohara Y, Takeya M, Sakaida I, Ogawa Y (2013) Hepatic crown-like structure: a unique histological feature in non-alcoholic steatohepatitis in mice and humans. *PLoS One* 8, e82163
27. Konuma K, Itoh M, Suganami T, Kanai S, Nakagawa N, Sakai T, Kawano H, Hara M, Kojima S, Izumi Y, Ogawa Y (2015) Eicosapentaenoic acid ameliorates non-alcoholic steatohepatitis in a novel mouse model using Melanocortin-4 receptor-deficient mice. *PlosOne* 10, e0121528
28. Allison M (2012) Stromedix acquisition signals growing interest in fibrosis. *Nat Biotechnol* 30:375–376
29. Sakata K, Hara M, Terada T, Watanabe N, Takaya D, Yaguchi S, Matsumoto T, Matsuura T, Shirouzu M, Yokoyama S, Yamaguchi T, Miyazawa K, Aizaki H, Suzuki T, Wakita T, Imoto M, Kojima S (2013) HCV NS3 protease enhances liver fibrosis via binding to and activating TGF- β type I receptor. *Sci Rep* 3:3243